268414 PTO/SB/21 (09-04)

Approved for use through 07/31/2006, OMB 0651-0031

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**Application Number** 10/087,437 RANSMITTAL March 2, 2002 Filing Date FORM First Named Inventor Kimmo Laiho Art Unit 2684 **Examiner Name** Alan T. Gantt (to be used for all correspondence after initial filing) Pages in This Submission 004770.00033 Attorney Docket Number ENCLOSURES (check all that apply) Fee Transmittal Form ☐ Drawing(s) After Allowance Communication to TC Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Petition Appeal Communication to TC Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement □ Landscape Table on CD Remarks Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Banner & Witcoff, LTD. Signature Printed Name Ross A. Dannenberg Reg. Date 49,024 January 31, 2005 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Signature Typed or printed name Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (Mag. 48 4)				Complete if Known					
			<i>/</i> 6	Application Number	10/087,437				
for FY 2005			2 1	Filing Date	March 2, 2002		-		
			ودال	First Named Inventor	Kimmo Laiho				
Applicant claims small entity status. See 37 CFR 1.27				? Examiner Name	Alan T. Gantt				
		TRADE TRADE	Art Unit		2684				
TOTAL AMOUNT OF PA	YMENT	(\$) 180		Attorney Docket No.	004770.00033				
METHOD OF PAYMENT (check all that apply)									
☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):									
□ Deposit Account Deposit Account Number: 19-0733     □ Deposit Account Name: Banner & Witcoff, LTD.									
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Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee									
☐ Charge at	nv additiona	Il fee(s) or underpaymen	its of t	fee(s) X Cred	lit any overpaym	ents			
Under 37	CFR 1.16 a	and 1.17		`` —					
WARNING: Information on the information and authorization			rd info	ormation should not b	e included on this	form. Provide cr	edit card		
FEE CALCULATION		<del></del>							
1. BASIC FILING, SEA	ARCH AN	D EXAMINATION FE	FS			<del>, , , , , , , , , , , , , , , , , , , </del>			
	FILING FEES		SEARCH FEES		<b>EXAMINATION FEES</b>				
		<b>Small Entity</b>	Small Entity		y <u>Small Entity</u>				
Application Type	Fee (\$)	Fee(\$)	Fee(	\$) Fee(\$)	<u>Fee(\$)</u>	Fee(\$)	Fees Paid (\$)		
Utility	300	150	500	250	200	100			
Design	200	100	100	50	130	65			
Plant	200	100	300	150	160	80			
Reissue	300	150	500	250	600	300			
Provisional	200	100	0	0	0	0			
2. EXCESS CLAIM FE	ES						Small Entity		
Fee Description						Fee (\$)	Fee (\$)		
Each claim over 20 (including Reissues)						50	25		
Each independent claim over 30 (including Reissues)						200	100		
Multiple dependent claims						360	180		
Total Claims		Claims Fee(\$)		Fee Paid (\$)			Dependent Claims		
20 or HP		- × <u>- </u>	=			<u>Fee (\$</u>	Fee Paid (\$)		
i -	-	paid for, if greater than 20.		Foo Boid (#)			<del></del>		
<u>Indep. Claims</u>	Extra (	Claims <u>Fee(\$)</u>		Fee Paid (\$)					

3. APPLICATION SIZE FEE
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

- 3 or HP=

HP = highest number of independent claims paid for, if greater than 3.

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)

- 100 = \_\_\_\_ / 50 = \_\_\_\_ (round up to a whole number) x = \_\_\_\_

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

\_\_\_\_\_

Other (e.g., late filing surcharge): Fee for Information Disclosure Statement Under 37 C.F.R. 1.17(P)

SUBMITTED BY

Signature

Registration No. (Attorney/Agent) 49,024

Ross A. Dannenberg

Registration No. (Attorney/Agent) 49,024

Telephone (202) 824-3000

Date January 31, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kimmo Laiho et al.

Serial No.:

10/087,437

Filed:

March 2, 2002

For:

System And Method For

**Broadband Digital Broadcasting** 

Group Art Unit: 2684

Examiner: A

Alan T. Gantt

Atty. Dkt. No. 004770.00033

## **INFORMATION DISCLOSURE STATEMENT**

Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

In accordance with 37 C.F.R. § 1.56 and 1.97, Applicant(s) provides the following for consideration by the Office during examination of the above-captioned application. This submission is not intended as an admission that any submitted document or reference constitutes prior art against the claims of the present application. Applicant(s) do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant(s) hereby make the following statements, if checked:

- Enclosed is a PTO Form-1449 listing art for consideration by the Examiner, and a copy of each of the identified documents is included if presently required by Office rules and regulations.
- Pursuant to 37 C.F.R. § 1.97 (b) (1), the undersigned states that the present information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53 (d).

02/03/2005 BABRAHA1 00000086 190733 10087437

	Pursuant to 37 C.F.R. § 1.97 (b) (2), the undersigned states that the present
	information disclosure statement is being filed within three months of the date of
	entry of a national stage as set forth in 37 C.F.R. § 1.491 in an international
	application.
	Pursuant to 37 C.F.R. § 1.97 (b) (3), the undersigned states that the present
	information disclosure statement is being filed before the mailing of a first Office
	action.
	Pursuant to 37 C.F.R. § 1.97 (b) (4), the undersigned states that the present
	information disclosure statement is being filed before the mailing of a first Office
	action after the filing of a request for continued examination under 37 C.F.R. § 1.114.
	Pursuant to 37 C.F.R. § 1.97 (e) (1), the undersigned states that each item of
	information contained in the information disclosure statement was first cited in a
	communication from a foreign patent office in a counterpart foreign application not
	more than three months prior to the filing of this information disclosure statement.
$\boxtimes$	Pursuant to 37 C.F.R. § 1.97 (e) (2), the undersigned states that no item of
	information contained in the information disclosure statement was cited in a
	communication from a foreign patent office in a counterpart foreign application, and
	to the knowledge of the undersigned, upon reasonable inquiry, no item of information
	contained in the information disclosure statement was known to any individual
	designated in §1.56(c) more than three months prior to the filing of the information
	disclosure statement.
	Pursuant to 37 C.F.R. § 1.704 (d), the undersigned states that each item of
	information contained in the information disclosure statement was cited in a
	communication from a foreign patent office in a counterpart foreign application and
	that the communication was not received by any individual designated in § 1.56 (c)
	more than thirty days prior to the filing of this information disclosure statement.
	It is believed no fee is required to make this a complete and timely filing. However,
	if a fee is required, please charge our Deposit Account No. 19-0733.
$\boxtimes$	The undersigned hereby authorizes the Office to charge the fee pursuant to 37 C.F.R.
	§ 1.17 (p) to our Deposit Account 19-0733.

Consideration of this information is respectfully requested, with citation thereto on the face of the issued patent as applicable.

Respectfully submitted, BANNER & WITCOFF, LTD.

Dated: Jan. 31, 2005

By:

Ross A. Dannenberg Registration No. 49,024 1001 G. Street, N.W.

Washington, D.C. 20001-4597

(202) 824-3153

RAD/mmd

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USPTO Form 1449 U.S. Departi Attorney Docket No. Serial No. Patent and Trademark Office 10/087,437 004770.00033 INFORMATION DISCLOSURE **CITATION** Applicant(s): Kimmo Laiho et al. Sheet 1 of 1 Filing Date: March 2, 2002 Group: 2684 **U.S. PATENT DOCUMENTS** Filing Date Class Subclass Examiner Patent No. Date Name (if appropriate) Initial Leslie et al. 4,449,248 5/15/84 FOREIGN PATENT DOCUMENTS Examiner Document No. Date Country Class Subclass Translation Initial YES NO OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.) DATE CONSIDERED **EXAMINER** \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.